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| 09/833,148  | 04/11/2001  | Yusuke Kimata        | P/2850-48                    | 1646                   |
| 7590 08/09/2007<br>STEVEN I. WEISBURD<br>DICKSTEIN SHAPRIO MORIN & OSHINSKY LLP<br>1177 AVENUE OF THE AMERICAS<br>41ST FLOOR<br>NEW YORK, NY 10036-2714 |             |                      | EXAMINER<br>GLASS, RUSSELL S |                        |
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Please find below and/or attached an Office communication concerning this application or proceeding.

The time period for reply, if any, is set in the attached communication.

# Office Action Summary

Application No.

09/833,148

Applicant(s)

KIMATA, YUSUKE

Examiner

Russell S. Glass

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-- The MAILING DATE of this communication appears on the cover sheet with the correspondence address --

## Period for Reply

A SHORTENED STATUTORY PERIOD FOR REPLY IS SET TO EXPIRE 3 MONTH(S) OR THIRTY (30) DAYS, WHICHEVER IS LONGER, FROM THE MAILING DATE OF THIS COMMUNICATION.

- Extensions of time may be available under the provisions of 37 CFR 1.136(a). In no event, however, may a reply be timely filed after SIX (6) MONTHS from the mailing date of this communication.
- If NO period for reply is specified above, the maximum statutory period will apply and will expire SIX (6) MONTHS from the mailing date of this communication.
- Failure to reply within the set or extended period for reply will, by statute, cause the application to become ABANDONED (35 U.S.C. § 133). Any reply received by the Office later than three months after the mailing date of this communication, even if timely filed, may reduce any earned patent term adjustment. See 37 CFR 1.704(b).

## Status

- 1) ☒ Responsive to communication(s) filed on 08 May 2007.
- 2a) ☒ This action is **FINAL**. 2b) ☐ This action is non-final.
- 3) ☐ Since this application is in condition for allowance except for formal matters, prosecution as to the merits is closed in accordance with the practice under *Ex parte Quayle*, 1935 C.D. 11, 453 O.G. 213.

## Disposition of Claims

- 4) ☒ Claim(s) 2-18 is/are pending in the application.
- 4a) Of the above claim(s) \_\_\_\_\_ is/are withdrawn from consideration.
- 5) ☐ Claim(s) \_\_\_\_\_ is/are allowed.
- 6) ☒ Claim(s) 2-18 is/are rejected.
- 7) ☐ Claim(s) \_\_\_\_\_ is/are objected to.
- 8) ☐ Claim(s) \_\_\_\_\_ are subject to restriction and/or election requirement.

## Application Papers

- 9) ☐ The specification is objected to by the Examiner.
- 10) ☐ The drawing(s) filed on \_\_\_\_\_ is/are: a) ☐ accepted or b) ☐ objected to by the Examiner.  
Applicant may not request that any objection to the drawing(s) be held in abeyance. See 37 CFR 1.85(a).  
Replacement drawing sheet(s) including the correction is required if the drawing(s) is objected to. See 37 CFR 1.121(d).
- 11) ☐ The oath or declaration is objected to by the Examiner. Note the attached Office Action or form PTO-152.

## Priority under 35 U.S.C. § 119

- 12) ☐ Acknowledgment is made of a claim for foreign priority under 35 U.S.C. § 119(a)-(d) or (f).
- a) ☐ All b) ☐ Some \* c) ☐ None of:
- ☐ Certified copies of the priority documents have been received.
  - ☐ Certified copies of the priority documents have been received in Application No. \_\_\_\_\_.
  - ☐ Copies of the certified copies of the priority documents have been received in this National Stage application from the International Bureau (PCT Rule 17.2(a)).

\* See the attached detailed Office action for a list of the certified copies not received.

## Attachment(s)

- |  |   |
|--|---|
| 1) <input checked="" type="checkbox"/> Notice of References Cited (PTO-892)  | 4) <input type="checkbox"/> Interview Summary (PTO-413)<br>Paper No(s)/Mail Date. _____ |
| 2) <input type="checkbox"/> Notice of Draftsperson's Patent Drawing Review (PTO-948)                                   | 5) <input type="checkbox"/> Notice of Informal Patent Application (PTO-152)             |
| 3) <input type="checkbox"/> Information Disclosure Statement(s) (PTO-1449 or PTO/SB/08)<br>Paper No(s)/Mail Date _____ | 6) <input type="checkbox"/> Other: _____  |

## DETAILED ACTION

### ***Claim Rejections - 35 USC § 103***

1. The following is a quotation of 35 U.S.C. 103(a) which forms the basis for all obviousness rejections set forth in this Office action:

(a) A patent may not be obtained though the invention is not identically disclosed or described as set forth in section 102 of this title, if the differences between the subject matter sought to be patented and the prior art are such that the subject matter as a whole would have been obvious at the time the invention was made to a person having ordinary skill in the art to which said subject matter pertains. Patentability shall not be negated by the manner in which the invention was made.

2. **Claims 2, 3, 11, 12, and 16 are rejected under 35 U.S.C. 103(a) as being unpatentable over Mayaud, (U.S. 5,845,255).**

3. As per claim 2, Mayaud teaches a method for sharing information of an individual that uses a network system in which a plurality of hospital terminals, a plurality of user terminals, and a database terminal for managing a database of information of an individual are mutually connected via a communication network, wherein:

a hospital acquires a hospital ID and a hospital password, (Mayaud, col. 18, line 56),

a user who is a patient, or a guardian or caregiver of a juvenile or handicapped person acquires a user ID, a user password, a second password, and space for storing information concerning medical treatment of an individual in a database, (Mayaud, Abstract, col. 10, lines 41, 44; col. 9, lines 1-3)(disclosing a physician user and privacy

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controls for patient and doctor, wherein a physician is a caregiver of a juvenile or handicapped person, and also a user)

the hospital receives a notification of the user ID and the second password from the user, (Mayaud, col. 10, lines 41, 44; col. 17, lines 20-col. 18, line 23; col. 49, line 41)(a notification is considered to be any transmission of the user ID and second password to a database for storage of patient-controlled data retrieval preferences), and

the hospital acquires individual medical treatment information from the database using the user ID, the second password, the hospital ID, and the hospital password as keys, and stores updated individual medical treatment information in the database using the user ID, the second password, the hospital ID, and the hospital password as keys, (Mayaud, col. 10, lines 41, 44; col. 17, lines 40-54; col. 49, line 41).

Mayaud fails to expressly disclose a using all four keys at the same time to access data. However, Mayaud teaches that data access control can be realized through the compound use of standard identifiers or keys such as names, passwords, or unique identifiers such as alphanumeric codes, social security numbers, or the like. Mayaud further discloses that can be used alone or in sequence with one another to achieve the desired security level and to avoid confusing the files of persons with similar names. (Mayaud, col. 10, lines 41, 44; col. 17, lines 40-60). Additionally, Mayaud teaches that individuals and organizations can be given patient-defined selective access through patient-generated record-access specifications, (Mayaud, col. 18, lines 6-23).

Examiner considers this method in Mayaud to be closely analogous to the method in claim 2. Therefore, in light of Mayaud, it would be obvious to one of ordinary

skill in the art to modify Mayaud to use multiple user and hospital I.D.'s and passwords as data keys. The motivation would be to prevent unauthorized access, (Mayaud, col. 17, lines 27-28).

4. As per claim 3, Mayaud suggests the method for sharing information concerning medical treatment of an individual according to claim 2, wherein the user views individual medical treatment information on the database using the user ID and the user password as keys (Mayaud, col. 10, lines 41, 44; col. 17, lines 27-28; col. 46, lines 41-44).

Mayaud teaches that the data-access control software is accessed by patients with data-access rights. The patient terminals are separate from the physician terminals. This is considered to be analogous to the user viewing individual medical treatment information on the database using the user ID and the user password as keys.

Examiner considers this method in Mayaud to be analogous to the method in claim 2. In light of Mayaud, it would be obvious to one of ordinary skill in the art for a user to view individual treatment information on a database using I.D.'s and passwords as data keys. The motivation would be to prevent unauthorized access, (Mayaud, col. 17, lines 27-28).

5. As per claim 11, Mayaud suggests the method for sharing information concerning medical treatment of an individual according to claim 2, wherein the user specifies a hospital and restricts access by the specified hospital to the space where the individual medical treatment information of the user is stored using the user I.D. and the user password as keys, (Mayaud, col. 10, lines 41, 44; col. 17, lines 27-28; col. 46, lines 41-44; col. 18, lines 6-23).

Mayaud teaches that the data-access control software is controlled by patients with data-access rights. The patients have the ability to control the access of organizations to stored patient records through the use of passwords, social security numbers, and alphanumeric codes.

Examiner considers this method in Mayaud to be analogous to the method in claim 11. In light of Mayaud, it would be obvious to one of ordinary skill in the art for a user to user specifies a hospital and restricts access by the specified hospital to the space where the individual medical treatment information of the user is stored using the user I.D. and the user password as keys. The motivation would be to prevent unauthorized access of organizations such as hospitals, (Mayaud, col. 17, lines 27-28).

6. As per claim 12, Mayaud suggests the method for sharing information concerning medical treatment of an individual according to claim 2, wherein, a cooperating company terminal that is used by a cooperating company that is in cooperation with whoever is managing the database is incorporated into the network system and, using this network system, the cooperating company acquires a cooperating company ID and

cooperating company password and, after the user has given permission for the individual medical treatment information to be made available to the cooperating company using the user ID and user password as keys, the cooperating company acquires the individual medical treatment information of the user from the database using the cooperating company ID and cooperating company password as keys, (Mayaud, col. 10, lines 41, 44; col. 17, lines 27-28; col. 46, lines 41-44; col. 18, lines 6-23).

Mayaud teaches that the data-access control software is controlled by patients with data-access rights. The patients have the ability to control the access of organizations to stored patient records through the use of passwords, social security numbers, and alphanumeric codes.

Examiner considers this method in Mayaud to be analogous to the method in claim 12. In light of Mayaud, it would be obvious to one of ordinary skill in the art for a user to give permission for the user's individual medical treatment information to be made available to a cooperating company using the user ID and user password as keys, the cooperating company acquires the individual medical treatment information of the user from the database using the cooperating company ID and cooperating company password as keys. The motivation would be to prevent unauthorized access of organizations such as cooperating companies, (Mayaud, col. 17, lines 27-28).

7. As per claim 16, Mayaud suggests the method for sharing information concerning medical treatment of an individual according to claim 2, wherein the database terminal

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receives a request sent from the hospital terminal or from the cooperating company terminal for the individual medical treatment information of the user to be made available and transfers the request to the user terminal of the user, and also receives an approval from the user terminal regarding the request for the individual medical treatment information to be made available, (Mayaud, col. 10, lines 12-66; col. 17, lines 40-54; col. 18, lines 6-23; col. 50, lines 48-54)(providing the patient with the ability to predetermine access to their own data).

Examiner considers this method in Mayaud to be analogous to the method in claim 16. In light of Mayaud, the method for sharing information concerning medical treatment of an individual, wherein the database terminal receives a request sent from the hospital terminal or from the cooperating company terminal for the individual medical treatment information of the user to be made available and transfers the request to the user terminal of the user, and also receives an approval from the user terminal regarding the request for the individual medical treatment information to be made available would be obvious to one of ordinary skill in the art. The motivation would be to allow the user to prevent unauthorized access of organizations such as cooperating companies, (Mayaud, col. 17, lines 27-28).

**8. Claims 4-6, 13, are rejected under 35 U.S.C. 103(a) as being unpatentable over Mayaud as applied to claims 2, 3, 11 and 12 above, and further in view of Nelson et al., (U.S. 6,564,104).**



9. As per claim 4, Mayaud suggests the method for sharing information concerning medical treatment of an individual according to claim 2. However, Mayaud fails to teach a method for sharing information wherein a charge is levied on the hospital when the hospital acquires individual medical treatment information from the database.

Nelson teaches a medical communications system for sharing information concerning medical treatment, wherein a charge is levied on the hospital when the hospital acquires individual medical treatment information from the database, (Nelson, col. 16, lines 46-49) (providing the system to a clinical entity on a fee per use or per data access basis is considered to be analogous to the method in claim 4 where the data is acquired).

It would be obvious to one of ordinary skill in the art to combine Nelson with Mayaud. The motivation would have been to provide a vital system and method of delivering efficient therapy and clinical care to the patient, (Nelson, col. 6, lines 22-25).

10. As per claim 5, Mayaud suggests the method for sharing information concerning medical treatment of an individual according to claim 2. However, Mayaud fails to teach a method for sharing information wherein a charge is levied on the hospital when the hospital saves updated individual medical treatment information from the database.

Nelson teaches a medical communications system for sharing information concerning medical treatment, wherein a charge is levied on the hospital when the hospital saves updated individual medical treatment information from the database, (Nelson, col. 16, lines 46-49) (providing the system to a clinical entity on a fee per use

or per data access basis is considered to be analogous to the method in claim 4 where the data is saved).

It would be obvious to one of ordinary skill in the art to combine Nelson with Mayaud. The motivation would have been to provide a vital system and method of delivering efficient therapy and clinical care to the patient, (Nelson, col. 6, lines 22-25).

11. As per claim 6, Mayaud suggests the method for sharing information concerning medical treatment of an individual according to claim 3. However, Mayaud fails to teach a method for sharing information wherein a charge is levied on the user when the user views individual medical treatment information.

Nelson teaches a medical communications system for sharing information concerning medical treatment, wherein a charge is levied on the user when the user views individual medical treatment information, (Nelson, col. 16, lines 46-49) (providing the system to a host patient on a fee per use or per data access basis is considered to be analogous to the method in claim 5 where the user views data).

It would be obvious to one of ordinary skill in the art to combine Nelson with Mayaud. The motivation would have been to provide a vital system and method of delivering efficient therapy and clinical care to the patient, (Nelson, col. 6, lines 22-25).

12. As per claim 13, Mayaud suggests the method for sharing information concerning medical treatment of an individual according to claim 12. However, Mayaud fails to teach a method for sharing information wherein a charge is levied on the cooperating

company when the cooperating company acquires individual medical treatment information from the database.

Nelson suggests a medical communications system for sharing information concerning medical treatment, information wherein a charge is levied on the cooperating company when the cooperating company acquires individual medical treatment information from the database, (Nelson, col. 16, lines 46-49) (providing the system to a host patient or a clinician or a clinical entity on a subscription basis, on a fee per use, or per data access basis is considered to be analogous to the method in claim 5 where the cooperating company acquires data).

It would be obvious to one of ordinary skill in the art to combine Nelson with Mayaud in order to provide information to third parties such as cooperating companies. The motivation would be to provide a high efficiency communications system to enhance data communications, (Nelson, col. 4, lines 37-39).

**13. Claims 7, 8, 17, 18, are rejected under 35 U.S.C. 103(a) as being unpatentable over Mayaud in view of Anderl et al, (U.S. 4,882,474).**

14. As per claim 7, Mayaud suggests the method for sharing information concerning medical treatment of an individual according to claim 2. However, Mayaud fails to teach a method for sharing information concerning medical treatment of an individual, wherein the user password is altered using the user ID and the user password as keys.

Anderl suggests a method wherein the user password is altered using the user ID and the user password as keys, (Anderl, col. 7, lines 61-68; col. 8, lines 4-10). In Anderl, a login command and password is entered before the user is allowed to change a password. A login command is considered to be analogous to the entry of a user I.D.

It would be obvious to one of ordinary skill in the art to combine Anderl with Mayaud. The motivation would have been to provide security protection for the system and allow for flexibility, (Anderl, col. 2, lines 17-20).

15. As per claim 8, Mayaud suggests the method for sharing information concerning medical treatment of an individual according to claim 2. However, Mayaud fails to teach a method for sharing information concerning medical treatment of an individual, wherein second password is altered using the user ID and the user password as keys.

Anderl suggests a method wherein a second password is altered using the user ID and the user password as keys, (Anderl, col. 7, lines 61-68; col. 8, lines 4-10). In Anderl, a login command and password is entered before the user is allowed to change a password. A login command is considered to be analogous to the entry of a user I.D. Additionally, Anderl teaches that multiple passwords may be used to achieve higher levels of security, (Anderl, col. 2, lines 3-9).

It would be obvious to one of ordinary skill in the art to combine Anderl with Mayaud. The motivation would have been to provide security protection for the system and allow for flexibility, (Nelson, col. 2, lines 17-20).

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16. As per claim 17, Anderl suggests a computer-readable medium encoded with:

a database containing information of an individual, (Anderl, col. 2, lines 3-9);

a computer program for sending a user password and a second password to a user terminal, (Anderl, col. 2, lines 3-9); and

a computer program that requests the second password when a person other than the user accesses the space where the individual information is stored, (Anderl, col. 5, lines 1-5; 30-67; col. 6, lines 19-21),

a computer program for providing information about the second password from the user to the person, (Anderl, col. 7, lines 12-28) (disclosing a computer program for entry of a second password, which is considered to include providing information about the second password, i.e. the password itself).

Anderl fails to disclose that the user is a patient, or a guardian or caregiver of a juvenile or handicapped person. However, Mayaud teaches a method for sharing medical treatment information of an individual over a communication network by a physician, (Mayaud, Abstract)(a physician is a caregiver of a juvenile or handicapped person).

It would be obvious to one of ordinary skill in the art to combine Anderl with Mayaud. The motivation would have been to provide security protection for the system and allow for flexibility, (Anderl, col. 2, lines 17-20).

17. As per claim 18, Anderl suggests the computer readable medium according to claim 17, further encoded with a computer program for requesting the user password

when the second password is altered, (Anderl, col. 8, lines 4-10)(requiring that a password be given for a password to be changed).

Anderl fails to disclose that the information is medical treatment information. However, Mayaud teaches a method for sharing prescription information of an individual over a communication network. Prescription information is considered to be analogous to medical treatment information. (Mayaud, Abstract).

It would be obvious to one of ordinary skill in the art to combine Anderl with Mayaud. The motivation would have been to provide security protection for the system and allow for flexibility, (Anderl, col. 2, lines 17-20).

**18. Claims 9, 14, and 15 are rejected under 35 U.S.C. 103(a) as being unpatentable over Mayaud as applied to claim 2 above, in view of Engleson et al., (U.S. 5,781,442).**

19. As per claim 9, Mayaud suggests the method for sharing information concerning medical treatment of an individual according to claim 2. However, Mayaud fails to teach a method, wherein, if updated individual medical treatment information is not saved in the database within a predetermined time after the hospital has acquired the individual medical treatment information from the database, notification is sent to the hospital requesting updated individual medical treatment information.

Engleson suggests a method, wherein, if updated individual medical treatment information is not saved in the database within a predetermined time after the hospital

has acquired the individual medical treatment information from the database, notification is sent to the hospital requesting updated individual medical treatment information.

The methods disclosed in Engleson teach that a remote terminal near a patient receives medical treatment information, such as a treatment plan parameter. Then, if updated medical information regarding the planned administration of drugs or medical treatment is not transmitted from the remote terminal within a certain predetermined period, an alarm is activated to notify hospital staff to perform the treatment protocol. The facts regarding the recent treatment are then recorded, thus updating the individual medical treatment information in the database, (Engleson, Abstract; col. 1, lines 29-35; col. 4, lines 50-63; col. 6, lines 41-51; col. 8, lines 54-65; col. 9, lines 20-26; col. 10, lines 12-14; col. 11, lines 16-23).

It would be obvious to one of ordinary skill in the art to combine the teaching of Mayaud and Engleson to arrive at the method in claim 9. The motivation would be to integrate patient care information with other institutional databases to achieve a reliable, efficient, and cost-effective delivery of health care to patients (Engleson; col 2, lines 15-21).

20. As per claim 14, Mayaud suggests the method for sharing information concerning medical treatment of an individual according to claim 2. However, Mayaud fails to teach a method for sharing information concerning medical treatment of an individual according to claim 2, wherein treatment costs are included in the individual medical treatment information.

Nelson suggests a method wherein treatment costs are included in the individual medical treatment information, (Engleson, col. 1, lines 36-42; col. 2, line 17; col. 10, lines 64-67).

It would be obvious to one of ordinary skill in the art to combine the teaching of Mayaud and Engleson to arrive at the method in claim 14. The motivation would be to more fully capture billing opportunities (Engleson; col 1, lines 36-42).

21. As per claim 15, Mayaud suggests the method for sharing information concerning medical treatment of an individual using the user ID and user password as keys. However, Mayaud fails to teach a method wherein the user is issued with a treatment cost report calculated from the treatment costs on the database.

Engleson suggests a method wherein the user is issued with a treatment cost report calculated from the treatment costs on the database, (Engleson, col. 1, lines 36-42; col. 2, line 17; col. 10, lines 64-67).

It would be obvious to one of ordinary skill in the art to combine the teaching of Mayaud and Engleson to arrive at the method in claim 15. The motivation would be to more fully capture billing opportunities (Engleson; col 1, lines 36-42).

**22. Claim 10 is rejected under 35 U.S.C. 103(a) as being unpatentable over Mayaud in view of Engleson as applied to claim 9 above, and further in view of Nelson.**



23. As per claim 10, Mayaud and Engleson suggest a method for sharing information concerning medical treatment of an individual. However, they do not clearly provide a method wherein notification of the updating of the individual medical treatment information is sent to the user when updated individual medical treatment information is saved in the database.

Nelson provides a method wherein notification of the updating of the individual medical treatment information is sent to the user when updated individual medical treatment information is saved in the database, (Nelson, col. 15, lines 47-61).

It would be obvious to one of ordinary skill in the art to combine Nelson with the collective system of Mayaud and Engleson. The motivation would have been to provide a high efficiency communications system to enhance data communications. (Nelson, col. 4, lines 37-39).

### ***Response to Arguments***

Applicant's arguments filed on May 8, 2007 have been fully considered but they are not persuasive for the following reasons:

1. As per Applicant's argument that Mayaud and Anderl, alone and in combination, fail to disclose certain features of applicant's invention, it is noted that the features upon which applicant relies (i.e., "a person who takes care of the welfare of the patient") are not recited in the rejected claim(s). Although the claims are interpreted in light of the

specification, limitations from the specification are not read into the claims. See *In re Van Geuns*, 988 F.2d 1181, 26 USPQ2d 1057 (Fed. Cir. 1993).

2. As per applicant's argument that Mayaud fails to disclose that the "hospital receives a notification of the user ID and the second password from the user", it is submitted that Mayaud discloses this limitation. Mayaud discloses that the hospital receives a notification of the user ID and the second password from the user, (Mayaud, col. 10, lines 41, 44; col. 17, lines 20-col. 18, line 23; col. 49, line 41). A notification is considered to be any transmission of the user ID and second password to a database for storage of patient-controlled data retrieval preferences, and the host computer facility can be a hospital.

3. As per applicant's argument that Anderl fails to disclose a computer program for providing information about the second password from the user to the person, it is submitted that Anderl discloses such a limitation, (Anderl, col. 7, lines 12-28). Anderl discloses a computer program for entry of a second password, which is considered to include providing information about the second password, i.e. the password itself that was provided by the user to the person.

### ***Conclusion***

**THIS ACTION IS MADE FINAL.** Applicant is reminded of the extension of time policy as set forth in 37 CFR 1.136(a).

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A shortened statutory period for reply to this final action is set to expire THREE MONTHS from the mailing date of this action. In the event a first reply is filed within TWO MONTHS of the mailing date of this final action and the advisory action is not mailed until after the end of the THREE-MONTH shortened statutory period, then the shortened statutory period will expire on the date the advisory action is mailed, and any extension fee pursuant to 37 CFR 1.136(a) will be calculated from the mailing date of the advisory action. In no event, however, will the statutory period for reply expire later than SIX MONTHS from the mailing date of this final action.

Any inquiry concerning this communication or earlier communications from the examiner should be directed to Russell S. Glass whose telephone number is 571-272-3132. The examiner can normally be reached on M-F 8-5.


If attempts to reach the examiner by telephone are unsuccessful, the examiner's supervisor, Joseph Thomas can be reached on 571-272-6776. The fax phone number for the organization where this application or proceeding is assigned is 571-273-8300.

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Information regarding the status of an application may be obtained from the Patent Application Information Retrieval (PAIR) system. Status information for published applications may be obtained from either Private PAIR or Public PAIR. Status information for unpublished applications is available through Private PAIR only. For more information about the PAIR system, see <http://pair-direct.uspto.gov>. Should you have questions on access to the Private PAIR system, contact the Electronic Business Center (EBC) at 866-217-9197 (toll-free). If you would like assistance from a USPTO Customer Service Representative or access to the automated information system, call 800-786-9199 (IN USA OR CANADA) or 571-272-1000.

RSG  
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C. LUKE GILLIGAN  
PRIMARY EXAMINER  
TECHNOLOGY CENTER 3600